



Pea(k): UTAH'S PEP 401(k) PLAN FEE STRUCTURE

Simple, Up-Front, Low Cost, and Transparent for All

PEA(k): UTAH'S POOLED EMPLOYER 401(k) PLAN FEE SUMMARY

One-time Set-up Fee	\$500.00
Annual Participating Employer Base Fee	\$2,000.00
Annual Per Participant Charge	\$60.00
Annual Asset Based Fee < 4m Assets	0.45%
Annual Asset Based Fee > 4m Assets	0.35%

Annual Participating Employer Base Fee

Annual Per Participant Fee

Annual Participant Asset Based Fee**

\$ 2,000.00

+

\$ 60

+

0.45%
0.35%

Invoiced to Participating Employer

Billed to Participant or Option of Employer to pay Per Participant fee on behalf of their Participants!

Billed to Participants. Not including Internal Fund Expense Fees.



Plan funds DO NOT share revenue with Service Providers. Should any Service Provider receive revenue from fund company, revenue will be credited back to participant.

For additional detail - See "Service Provider Services and Fee Distribution Detail"

Other "On-Occurrence" fees may apply. See "On-Occurrence" Fee Schedules for detail.

Advertised Fees used in Marketing Publications subject to change without notice.

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SERVICE PROVIDER FEE DETAIL

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SERVICE PROVIDER SERVICES AND FEE DISTRIBUTION DETAIL

	Annual Participating Employer Base Fee	Annual Per Participant Charge	Annual Asset Based Fee
ELEVATE401(K) Pooled Plan Provider 3(16) Fiduciary Record Keeper Participant Web Access Plan Compliance Participant Account Service	\$ 2,000.00	\$ 60.00	0.15% <i>0.10%</i>
SERVICE ADVISOR Participant Education Enrollment Education Participant Investment & Planning Advice Employer Services	\$ -	\$ -	0.2%
3(38) INVESTMENT ADVISOR 3(38) Investment Fiduciary Investment Selection & Monitoring Investment & Plan Benchmarking Quarterly PEP Participating Employer Webcast	\$ -	\$ -	0.1% <i>0.05%</i>

OTHER PARTICIPANT - ON OCCURANCE ONLY - FEES

Distribution / Rollover / Hardship Withdrawal	\$65.00
401(k) Loan Set-up (One-Time - No Annual Fee)	\$150.00
Qualified Domestic Relations Order (QDRO)	\$200.00
Distribution Correction / Reprocess / Reissue	\$30.00
Overnight - Rush Delivery Fee	\$25.00
Printed and Mailed Statement Fee (Electronic - No Charge)	\$2.50 per quarter

OTHER EMPLOYER - ON OCCURANCE ONLY - FEES

New Comparability / Cross Testing	\$650.00
Participating Employer Withdrawal / Deconversion / Transfer Fee	\$500.00 + \$5 per Participant

QUESTIONS?

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